

Attorney's Docket No.: 6570P039

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Nikolai G. Nikolov

Serial No: 10/750,396

Filed: December 30, 2003

For: Classfile Conversion Into An  
Organization Of Objects, And  
Modifications Thereof, To Effect  
Bytecode Modification

Examiner: Nahar, Qamrun

Art Unit: 2191

Confirmation No.: 8969

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Submission of the enclosed information is not to be construed as an admission that the enclosed information is material to patentability of the present application or that the claims of the present application and claims of the application(s) associated with the enclosed information are not patentably distinct.

Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

The Applicant would like to bring to the Examiners attention Office Actions received in the following related co-pending applications, U.S. Patent Application No. 10/750,050, entitled, "MODIFICATION AND EXECUTION OF BYTECODE FOR DEBUGGING, TESTING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE," filed on December 30, 2003 and U.S. Patent Application No. 10/750,067, entitled, "BYTE CODE MODIFICATION FOR TESTING, DEBUGGING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE", filed on December 30, 2003 and U.S. Patent Application No. 10/749,617, entitled,, "EXCUTION OF MODIFIED BYTE CODE FOR DEBUGGING, TESTING AND/OR MOINTORING OF OBJECT ORIENTED SOFTWARE", filed on December 30, 2003. Applicant has provided the Examiner with a copy of the related Office Actions, claims as they stood in the related application prior to the mailing of the Office Action and a copy of the claims as they were presented to the PTO in response to the Office Action.

**Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.**

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

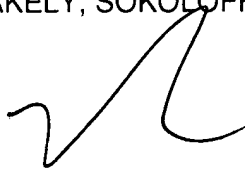
- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
  - ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
  - ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
  - (1) A statement pursuant to 37 C.F.R. §1.97(e); and
  - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: \_\_\_\_\_

4/3/07



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Robert B. O'Rourke  
Reg. No. 46,972

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/750,396
Filing Date	12-30-2003
First Named Inventor:	Nikolai G. Nikolov
Art Unit	2191
Examiner Name	Nahar, Qamrun
Attorney Docket Number	6570P039

 Sheet 1 of 3
**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	5,748,963	05-05-1998	Orr	
		US-	6,381,735	04-30-2002	Hunt	
		US-	6,118,940	09-12-2000	Alexander, III et al.	
		US-	6,631,515	10-07-2003	Berstis	
		US-	6,662,359	12-09-2003	Berry, et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/750,396
				Filing Date	12-30-2003
				First Named Inventor:	Nikolai G. Nikolov
				Art Unit	2191
				Examiner Name	Nahar, Qamrun
Sheet	2	of	3	Attorney Docket Number	6570P039
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	<a href="http://www.w3.org/TR/DOM-Level-2-Core/introduction.html">www.w3.org/TR/DOM-Level-2-Core/introduction.html</a> <u>What is Document Object Model?</u> , 13 November 2000, pp. 1-7.			T <sup>2</sup>
		<a href="http://www.cafeonleche.org/books/xmljava/chapters/ch09.html">www.cafeonleche.org/books/xmljava/chapters/ch09.html</a> Chapter 9, <u>The Document Object Model</u> , 2001, 2002, pp. 1-2.			
		<a href="http://www.cafeonleche.org/books/xmljava/chapters/ch09s04.html">www.cafeonleche.org/books/xmljava/chapters/ch09s04.html</a> Chapter 9, <u>The document Object Model, Trees</u> , 2001, 2002, pp. 1-10.			
		<a href="http://www.cafeonleche.org/books/xmljava/chapters/ch09s05.html">www.cafeonleche.org/books/xmljava/chapters/ch09s05.html</a> Chapter 9, <u>The Document Object Model DOM Parsers for Java</u> , 2001, 2002, pp. 1-3.			
		<a href="http://www.cafeonleche.org/books/xmljava/chapters/ch09x06.html">www.cafeonleche.org/books/xmljava/chapters/ch09x06.html</a> Chapter 9, <u>The Document Object Model Parsing documents with a DOM Parser</u> , 2001, 2002, pp. 1-10.			
		<a href="http://www.cafeonleche.org/books/xmljava/chapters/ch09s09.html">www.cafeonleche.org/books/xmljava/chapters/ch09s09.html</a> Chapter 9, <u>The Document Object Model JAXP Serialization</u> , 2001, 2002, pp. 1-3.			

Examiner Signature		Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)			Application Number	10/750,396	
			Filing Date	12-30-2003	
			First Named Inventor:	Nikolai G. Nikolov	
			Art Unit	2191	
			Examiner Name	Nahar, Qamrun	
Attorney Docket Number	6570P039				
Sheet	1	of	3		

RELATED PATENT APPLICATION INFORMATION			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		NIKOLAI G. NIKOLOV, "MODIFICATION AND EXECUTION OF BYETCODE FOR DEBUGGING, TESTING AND/OR MONITROING OF VIRTUAL MACHINE BASED SOFTWARE", Serial number 10/750,050, filed on December 30, 2003, Office Action mailed November 1, 2006. Copy of the Office Action, claims as they stood in the application prior to the mailing of the Office Action and claims as they were amended in response to the office action.	
		NIKOLAI G. NIKOLOV, "BYTE CODE MODIFICATION FOR TESTING, DEBUGGING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE", Serial number 10/750,067, filed on December 30, 2003, Office Action mailed January 16, 2007. Copy of the Office Action, claims as they stood in the application prior to the mailing of the Office Action and claims as they were amended in response to the office action.	
		NIKOLAI G. NIKOLOV, "EXCUTION OF MODIFIED BYTE CODE FOR DEBUGGING, TESTING AND/OR MOINTORING OF OBJECT ORIENTED SOFTWARE", Serial number 10/749,617, filed on December 30, 2003, Office Action mailed January 18, 2007. Copy of the Office Action and claims as they were amended in response to the office action.	

Examiner Signature		Date Considered	
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